

# What Sets Ubiquity Apart From the Rest?

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## Advisor Friendly

We know your pain points and we appreciate the value you bring to our mutual client. We are not an RIA – we work in partnership with Financial Professionals to deliver small business owners the most customized investment guidance.

## Investment Strategy

Ubiquity's open architecture offers access to 30K mutual funds and ETFs. We also offer optional ERISA 3(38) plan fiduciary services through trusted partners.

## Tenure

As small business retirement pioneers, we've been around for 21 years. Longevity and sustainability matter.

## Support

We've streamlined 401(k) admin and our dedicated Advisor support team is available to help via email, chat or a good old-fashioned phone call.

## Small Business Focused

Our 401(k) plans are designed and priced for small businesses with under 100 employees and even sole proprietor accounts.

## Technology

We built our own proprietary, cloud-based recordkeeping system for SMB retirement plans that offers an Advisor dashboard with an overview of your client base, customizable features and on-demand reporting.

## Payroll

Our system also integrates with many national payroll providers to help partners like Financial Advisors and tax accountants by providing a comprehensive offering to their clients.

## Expertise

Our retirement sales consultants each have more than 10 years' experience in small business retirement planning. Fully bundled or custom: we offer solutions based on your investment strategy.

## Compliance

We offer full Compliance support: our team of experts performs annual nondiscrimination testing and assists with 5500 form filing.

## Retirement Obsessed

We are so obsessed with retirement that we drove around the country in an RV and produced Broken Eggs Film, a hard-hitting feature-length documentary on the looming retirement crisis in America.

## Customer Reviews

Our reviews far outpace others in quality and quantity – and we don't pay our customers to write them.

